

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **INHIBITION OF BCL-2 PROTEIN EXPRESSION BY LIPOSOMAL ANTISENSE OLIGODEOXYNUCLEOTIDES**, the Specification of which:

- ☐ is attached hereto.  
☒ was filed on **October 3, 1997** as Application Serial No. **PCT/US97/18348**.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56, which become available between the filing date of the prior application and the national or PCT international filing date of this application:

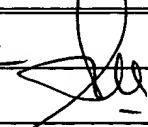
08/726,211	October 4, 1996	Pending
(Application Serial No.)	(Filing Date)	(Status)
<hr/>		
(Application Serial No.)	(Filing Date)	(Status)

I hereby direct that all correspondence and telephone calls be addressed to David L. Parker, Arnold White & Durkee, P.O. Box 4433, Houston, Texas 77210, (713) 787-1400.

I HEREBY DECLARE THAT ALL STATEMENTS MADE OF MY OWN KNOWLEDGE ARE TRUE AND THAT ALL STATEMENTS MADE ON INFORMATION AND BELIEF ARE BELIEVED TO BE TRUE; AND FURTHER THAT THESE STATEMENTS WERE MADE WITH THE KNOWLEDGE THAT WILLFUL FALSE

STATEMENTS AND THE LIKE SO MADE ARE PUNISHABLE BY FINE OR IMPRISONMENT, OR BOTH, UNDER SECTION 1001 OF TITLE 18 OF THE UNITED STATES CODE AND THAT SUCH WILLFUL FALSE STATEMENTS MAY JEOPARDIZE THE VALIDITY OF THE APPLICATION OR ANY PATENT ISSUED THEREON.

1-00

Inventor's Full Name:	Mar	Tormo
Inventor's Signature:		
Country of Citizenship:	Spain	Date: 30 / AUGUST / 99
Residence Address: (street, number, city, state, and/or country)	<del>Guillem Sorolla #36</del> PLAZA ALMANSA # 1 Valencia, Spain (46001) TX	
Post Office Address: (if different from above)		

Inventor's Full Name:	Ana	M	Tari
Inventor's Signature:			
Country of Citizenship:	Portugal	Date:	
Residence Address: (street, number, city, state, and/or country)	7500 Kirby Drive, No. 311 Houston, Texas 77030		
Post Office Address: (if different from above)			

Inventor's Full Name:	Gabriel	Lopez-Berestein
Inventor's Signature:		
Country of Citizenship:	USA	Date:
Residence Address: (street, number, city, state, and/or country)	122 Bellaire Court Bellaire, Texas 77603	
Post Office Address: (if different from above)		

Inventor's Full Name:	Timothy	J.	McDonnel
Inventor's Signature:			
Country of Citizenship:	USA	Date:	
Residence Address: (street, number, city, state, and/or country)	14103 Manderly Houston, Texas 77077		
Post Office Address: (if different from above)			

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Inventor's Full Name:	Mar		Tormo
Inventor's Signature:			
Country of Citizenship:	Spain	Date:	
Residence Address: (street, number, city, state, and/or country)	Guillem Sorolla #36 Valencia, Spain		
Post Office Address: (if different from above)			

Inventor's Full Name:	Ana	M	Tari
Inventor's Signature:	<i>Ana Tari</i>		
Country of Citizenship:	Portugal	Date:	8/23/99
Residence Address: (street, number, city, state, and/or country)	<del>7500 Kirby Drive, No. 311</del> AMT <del>Houston, Texas 77030</del> AMT 2405 McClendon Street Houston, TX 77030 TX		
Post Office Address: (if different from above)			

Inventor's Full Name:	Gabriel		Lopez-Berestein
Inventor's Signature:	<i>Gabriel Lopez-Berestein</i>		
Country of Citizenship:	USA	Date:	
Residence Address: (street, number, city, state, and/or country)	122 Bellaire Court Bellaire, Texas 77603 TX		
Post Office Address: (if different from above)			

4-00

Inventor's Full Name:	Timothy	J.	McDonnell
Inventor's Signature:	<i>Timothy McDonnell</i>		
Country of Citizenship:	USA	Date:	9/1/99
Residence Address: (street, number, city, state, and/or country)	14103 Manderly Houston, Texas 77077 TX		
Post Office Address: (if different from above)			

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT COOPERATION TREATY/DO/EO/US

Applicants:

MAR TORMO et al.

International Application No.: PCT/US97/18348

Filed: October 3, 1997

For: INHIBITION OF BCL-2 PROTEIN  
EXPRESSION BY LIPOSOMAL  
ANTISENSE  
OLIGODEOXYNUCLEOTIDES

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: UTFc:550P--/PAR

DECLARATION CLAIMING SMALL ENTITY STATUS  
37 C.F.R. §§ 1.9(F) AND 1.27(D) - NONPROFIT ORGANIZATION

BOX PCT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: Board of Regents, The University of Texas System

Address of Organization: 201 W. 7th Street  
Austin, TX 78701

The type of organization is a university.

10/01/1999 WCLAYBRO 0000095 09301747

03 FC:241 I hereby declare that the organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. § 1.9(e) (1), and thus is a "small entity" as defined in § 1.9(f), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, with regard to the above-referenced application.

I hereby declare that exclusive rights to the invention have been conveyed to and remain with the organization, with respect to the above-referenced invention, nor have I assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e).

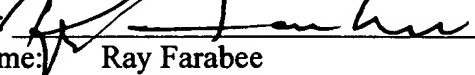
745 185 190

2891 935 135 07410 1534105

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Board of Regents, The University of Texas  
System

By:   
Name: Ray Farabee  
Title: Assistant Vice Chancellor & General Counsel

Date: August 9, 1999